



SESSION 5

Case study 1

Methods for complex evaluations

Activity | Case study

Individually, get familiar with the context of the evaluation: pages 1, 66-69 and the LFM.

With your group discuss the following:

- *What type of evaluation is it?*
- *What kind of purpose(s) is it supposed to serve?*
- *Who are the key stakeholders?*
- *Who will be the main users of this evaluation?*
- *What is the scope of this evaluation?*



See instructions in handout



Complex evaluations

Complex evaluations

All programmes above 5 million euros are to be evaluated

big budgets often means complex evaluations (several components, many contracts with many IPs etc.)

Complex methodological set & careful management

(closer to strategic evaluation standards)



Among the complex evaluations: the Budget support programmes.

EUD are to manage more BSP evaluations

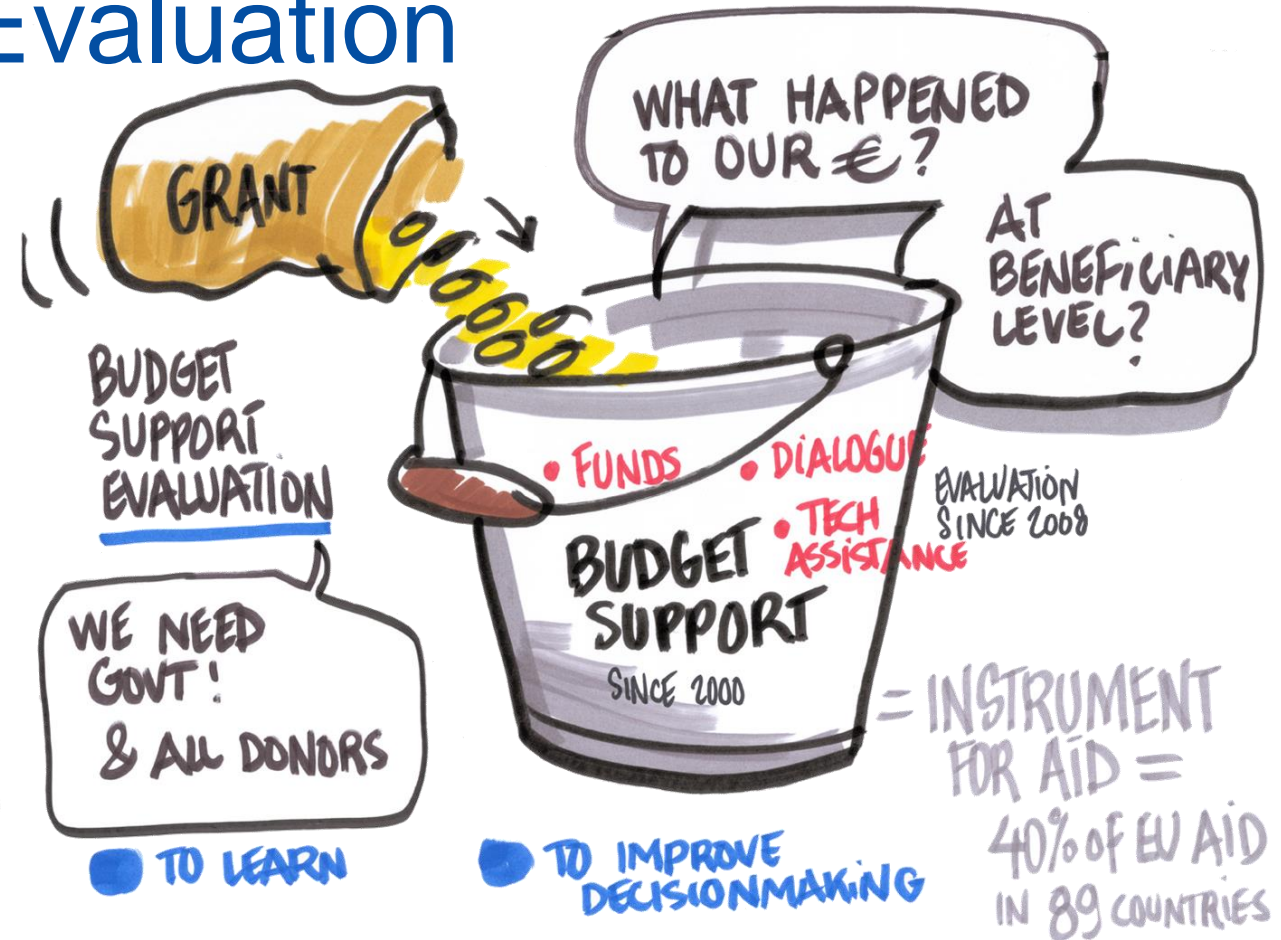
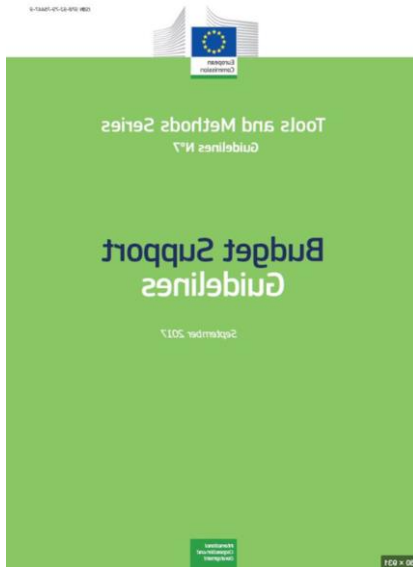
Specific evaluation methodology

(inspired by strategic BS evaluation methodology)



Budget Support Evaluation

1. BS Strategic Evaluations
2. BS Programme(s) Evaluations (new)



5 levels of Analysis

Level 1 Inputs

- I. Financial transfers
- II. Dialogue
- III. Capacity Development

Level 2 Direct Outputs

Direct effects:
opportunities/
aid framework

Level 3 Induced Outputs

Induced effects
on Government
policies & Service
delivery

Level 4 Outcomes

*Short / Medium
term
development
results*

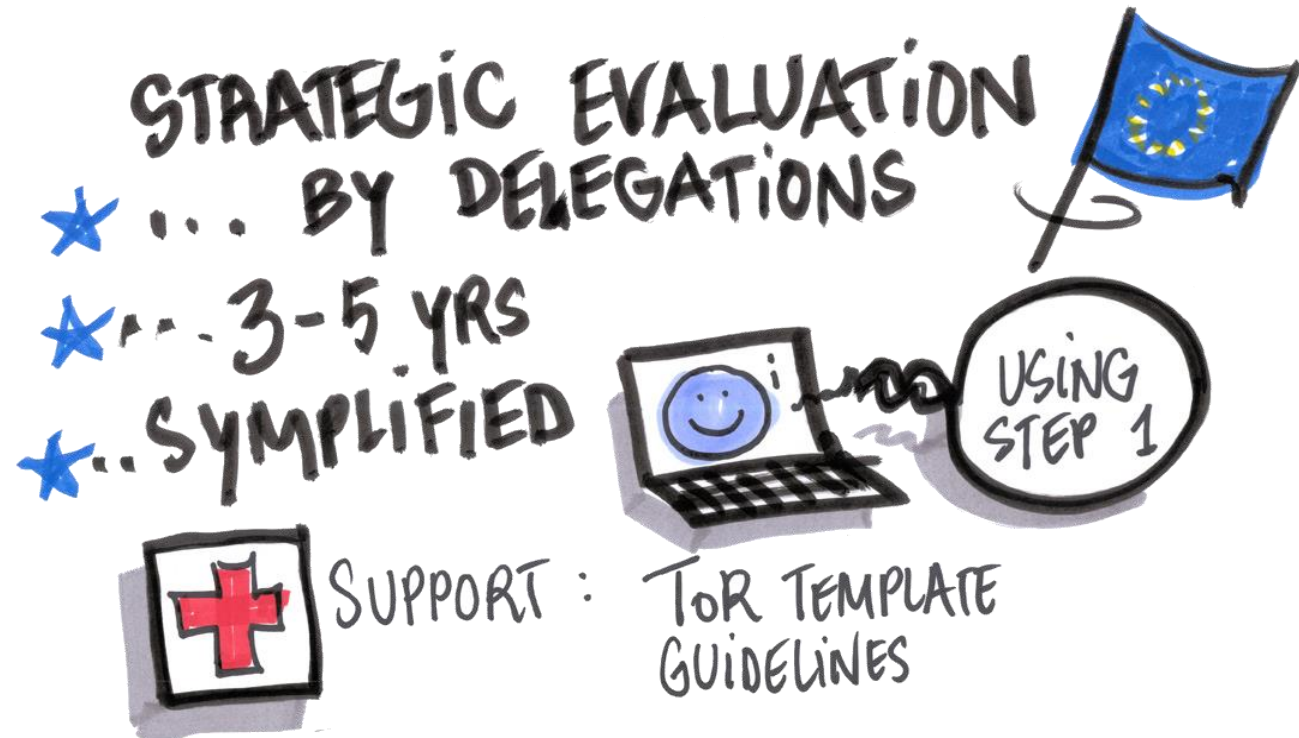
Level 5 Impact

*Longer term
development
results*

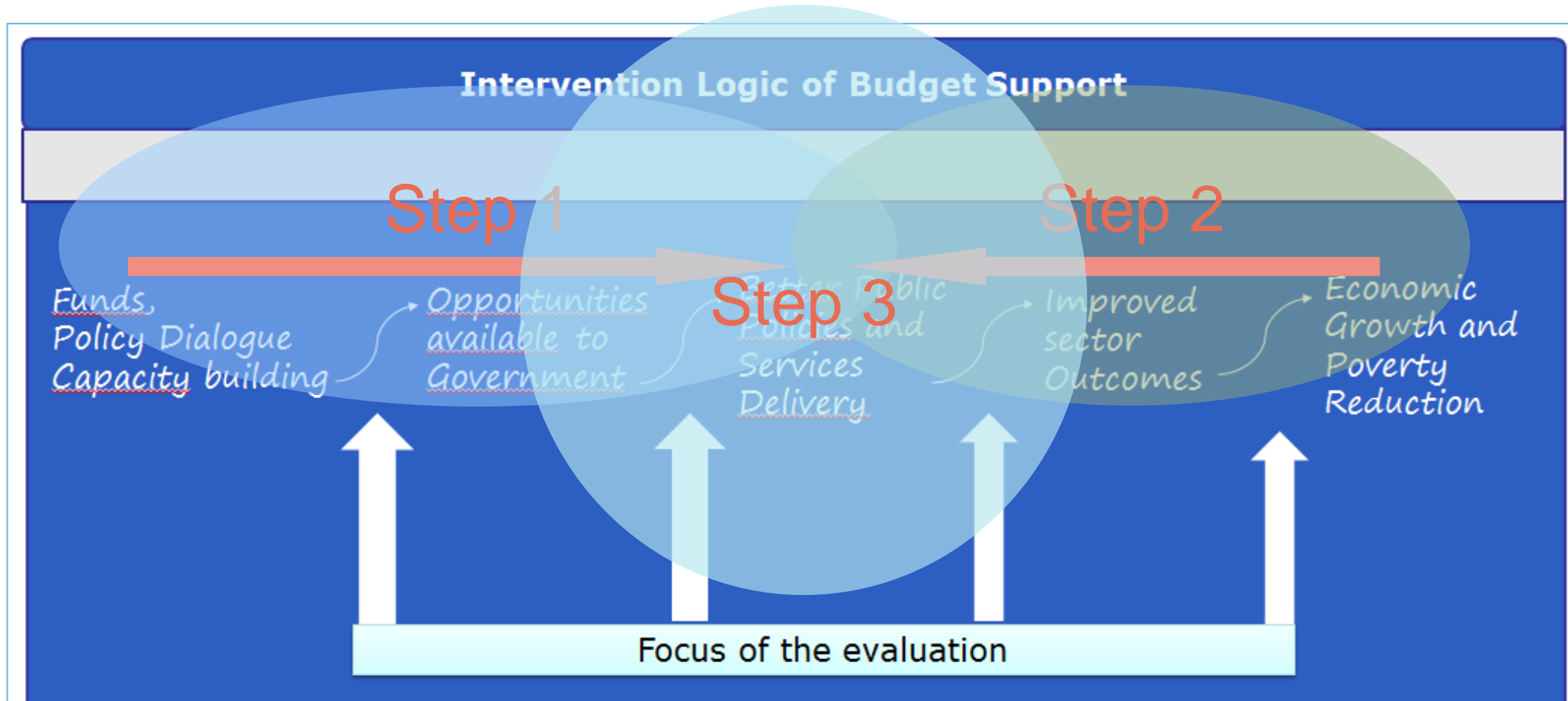
1. BS Strategic Evaluations

OECD/DAC methodological approach

- 5-level analysis, 3-Step approach
- Involve all Budget Support donors in the country (Joint) + Government
- Cover a long period of time (8-12 years)



1. BS Strategic Evaluations: 3-Step Approach



2. BS Programme(s) Evaluations - Why

- All programmes above €5 million have to be evaluated (Financial Regulation). EUDs to manage BSP evaluations.
- 16 Strategic Evaluations of Budget Support managed by DG INTPA
- In 2017, Budget Support in 90 countries. 275 ongoing BSPs. Commitments of 12.732 million euros.
- ROM Budget Support has been cancelled.

2. BS Programme(s) Evaluations - *How*

- ToR prepared by DG INTPA and DG NEAR – (in principle) only Step 1 and partially Step 2.
- Mid-term, Final or Ex-post evaluations. One BSP (usually sectoral) or a few co-existing.
- Support to EUD from ESS.
 - ✓ Good input for Strategic Evaluations.

Do you have to manage complex programme evaluations (BS or not)?

Don't copy-paste a neutral ToR template, but tailor them to the complexity of your programmes and needs!

ESS helpdesk is here to help:

- Up to 4 days support with your complex evaluations
- Additional ad hoc support to accompany you in the evaluation process (outlining the evaluation objectives & questions, drafting ToR, quality assuring the deliverables...)



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